



Substitute for form 1449/PTO

**Information Disclosure
Statement By Applicant**
(Use as many sheets as necessary)

Sheet

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of 2

Complete if Known

Application No.:	09/832,437
Filing Date	April 11, 2001
First Named Inventor	Marc Alaia
Art Unit	3692
Examiner Name	Elda G. Milef
Atty Docket No.	ARIBP017C1

U.S. Patent Documents

Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A.	5,835,896	11-10-1998	FISHER et al.	

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Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	B.	The News Journal Corporation, Racing Gear Auction Feature, April 4, 1997, pg. 04S	
	C.	FCC Auction, Bidder Information Package, Broadband Personal Communications Services (PCS): Basic Trading Area Licenses Frequency Blocks D & E and Entrepreneurs' Frequency Block F, August 26, 1996, pp. 31-56	
	D.	PORTER et al., Detection of Bid Rigging in Procurement Auctions, Journal of Political Economy, 1993, pp.518-538, vol.101, no. 3	
	E.	In the Matter of Implementation of Section 309(j) of the Communication Act – Competitive Bidding, Second Report and Order, PP Docket No. 93-253, 9 FCC Rcd 2348, 1994 FCC Lexis 1788:75 Rad. Reg.2d (P&F), April 20, 1994, pp. 1-90	
	F.	The FCC Report to Congress on Spectrum Auctions, WT Docket No. 97-150, October 9, 1997, pp. 1-59	
	G.	C. HUGH HILDESLEY, The Complete Guide to Buying & Selling at Auction, 1997, pp. 171-190, K.S. Giniger Company, Inc., New York	
	H.	RALPH CASSADY, JR., Auctions and Auctioneering, University of California Press, Berkeley and Los Angeles, 1967; pp. 1-330	
	I.	WURMAN et al., The Michigan Internet AuctionBot: A Configurable Action Server for Human and Software Agents, In Proceedings of the Second International Conference on Autonomous Agents (Agents-98), May 1998, pages 301-308, Minneapolis, MN, USA	
	J.	Charles River Associates Inc. and Market Design, Inc., Report 1A : Auction Design Enhancements for Non-Combinatorial Auctions, CRA No. 135-00, September 1997, pp.1-18	
	K.	In the Matter of Amendment of Part 1 of the Commission's Rules- Competitive Bidding Proceeding; Order, Memorandum Opinion and Order and Notice of Proposed Rule Making, FCC, WT Docket No. 97-82, February 20, 1997, pp.1-66	
	L.	The Random House Dictionary of the English Language, Second Edition, Unabridged, 1987, meaning of "Statistic"	
	M.	Viewer 2, FCC Auctions Remote Access System, README.TXT File, pp. 1-9	
	N.	PETER C. CRAMTON, Money out of Thin Air: The Nationwide Narrowband PCS Auction, Journal of Economics & Management Strategy, 4, 1995, pp. 267-343	
	O.	WILLIAM VICKREY, Counterspeculation, Auctions, and Competitive Sealed Tenders, Columbia University, pp.8-37	
	P.	ZARETSKY et al., Going Once, Going Twice, Sold: Auctions and the Success of Economic Theory, Regional Economist, pp.1-5	
	Q.	Rules and Regulations, Competitive Bidding Proceeding, FCC, WT Docket No. 97-82, ET Docket No. 97-32, FCC 97-413, Westlaw, 63 FR 2315, January 15, 1998, pp. 1-65	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.M./

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R.	FCC Auction, Bidder Information Package, Interactive Video and Data Service: Selected Metropolitan Statistical Area Licenses and Rural Service Area Licenses Frequency Blocks A & B, February 18, 1997, pp. 1-250	
S.	FCC Auction Bidder Information Package, Local Multipoint Distribution Service ("LMDS") <u>Basic Trading Area Licenses</u> , December 10, 1997, pp. 1-370	
T.	FCC, Auction of Local Multipoint Distribution Service Spectrum, DA 99-266, January 29, 1999, pp.1-50	
U.	Information Sciences Institute, Transmission Control Protocol, RFC:793, U.S.C., September 1981, pp.1-85	
V.	JOYCE JONES, Washington Report: leveling the field-ten percent price credit offered to minority firms by President Clinton's new rules- Brief Article; Black Enterprise, Oct. 1998, pp. 1-2	
W.	JOHN MCMILLAN, Selling Spectrum Rights, Journal of Economic Perspectives, April 5, 1994, pp. 1-25, University of California, San Diego	

Examiner	/Elda Milef/	Date Considered	01/24/2008
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

2 = Applicant is to place a check mark here if English language Translation is attached

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